

Large Cardinals in the Stable Core

Sandra Müller

Universität Wien

19.09.2018

joint work with Sy Friedman and Victoria Gitman

UMI-SIMAI-PTM, Wrocław
Session Set Theory and Topology

- Canonical inner models
- The Stable Core
- Large cardinals in the Stable Core

Classical canonical inner models

What is a canonical inner model?

What is a canonical inner model?

Example

Gödel's constructible universe L :

- $L_0 = \emptyset$,
- $L_{\alpha+1}$ = definable subsets of L_α ,
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for limit ordinals λ , and
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$.

What is a canonical inner model?

Example

Gödel's constructible universe L :

- $L_0 = \emptyset$,
- $L_{\alpha+1}$ = definable subsets of L_α ,
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for limit ordinals λ , and
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$.

But L can be very far from V , e.g. if V has a measurable cardinal.

Classical canonical inner models

What is a canonical inner model?

Example

Gödel's constructible universe L :

- $L_0 = \emptyset$,
- $L_{\alpha+1}$ = definable subsets of L_α ,
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for limit ordinals λ , and
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$.

But L can be very far from V , e.g. if V has a measurable cardinal.

Example

$L[\mu]$, the canonical inner model for a measurable cardinal.

Classical canonical inner models

What is a canonical inner model?

Example

Gödel's constructible universe L :

- $L_0 = \emptyset$,
- $L_{\alpha+1}$ = definable subsets of L_α ,
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for limit ordinals λ , and
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$.

But L can be very far from V , e.g. if V has a measurable cardinal.

Example

$L[\mu]$, the canonical inner model for a measurable cardinal.

Again, $L[\mu]$ misses a lot of large cardinals and can be very far from V , e.g. if V has two measurable cardinals.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.
- Consistently, $\text{HOD}^{\text{HOD}} \subsetneq \text{HOD}$.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.
- Consistently, $\text{HOD}^{\text{HOD}} \subsetneq \text{HOD}$.
- (Roguski) V is equal to HOD of a class forcing extension.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.
- Consistently, $\text{HOD}^{\text{HOD}} \subsetneq \text{HOD}$.
- (Roguski) V is equal to HOD of a class forcing extension.
- Good news: Every known large cardinal is compatible with HOD.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.
- Consistently, $\text{HOD}^{\text{HOD}} \subsetneq \text{HOD}$.
- (Roguski) V is equal to HOD of a class forcing extension.
- Good news: Every known large cardinal is compatible with HOD.
- Bad news: GCH can fail at every regular cardinal in HOD.

Definition

- OD = the collection of all sets definable with ordinal parameters.
- HOD = the collection of all hereditarily ordinal definable sets, i.e.

$$\text{HOD} = \{a \mid \text{trcl}(\{a\}) \subseteq \text{OD}\}.$$

- We can “code information into HOD” by forcing.
- Consistently, $\text{HOD}^{\text{HOD}} \subsetneq \text{HOD}$.
- (Roguski) V is equal to HOD of a class forcing extension.
- Good news: Every known large cardinal is compatible with HOD.
- Bad news: GCH can fail at every regular cardinal in HOD.

How close is HOD to V ?

Is V a class forcing extension of HOD?

Is V a class forcing extension of HOD?

Theorem (Vopenka)

Every set of ordinals is set-generic over HOD: If A is a set of ordinals, then there is a partial order $\mathbb{P} \in \text{HOD}$ and a HOD-generic filter $G \subseteq \mathbb{P}$ such that $\text{HOD}[A] = \text{HOD}[G]$.

Is V a class forcing extension of HOD?

Theorem (Vopenka)

Every set of ordinals is set-generic over HOD: If A is a set of ordinals, then there is a partial order $\mathbb{P} \in \text{HOD}$ and a HOD-generic filter $G \subseteq \mathbb{P}$ such that $\text{HOD}[A] = \text{HOD}[G]$.

Theorem (Hamkins, Reitz)

It is consistent that V is not a class forcing extension of HOD.

Is V a class forcing extension of HOD?

Theorem (Vopenka)

Every set of ordinals is set-generic over HOD: If A is a set of ordinals, then there is a partial order $\mathbb{P} \in \text{HOD}$ and a HOD-generic filter $G \subseteq \mathbb{P}$ such that $\text{HOD}[A] = \text{HOD}[G]$.

Theorem (Hamkins, Reitz)

It is consistent that V is not a class forcing extension of HOD.

Theorem (Friedman)

There is a definable class S such that every initial segment of S is in HOD and V is a class forcing extension of (HOD, S) .

Is V a class forcing extension of HOD?

Theorem (Vopenka)

Every set of ordinals is set-generic over HOD: If A is a set of ordinals, then there is a partial order $\mathbb{P} \in \text{HOD}$ and a HOD-generic filter $G \subseteq \mathbb{P}$ such that $\text{HOD}[A] = \text{HOD}[G]$.

Theorem (Hamkins, Reitz)

It is consistent that V is not a class forcing extension of HOD.

Theorem (Friedman)

There is a definable class S such that every initial segment of S is in HOD and V is a class forcing extension of (HOD, S) .

Theorem (Friedman)

V is a class forcing extension of $(L[S], S)$.

The Stability Predicate \mathcal{S}

Recall: $H_\alpha = \{x \mid |\text{trcl}(x)| < \alpha\}$.

The Stability Predicate S

Recall: $H_\alpha = \{x \mid |\text{trcl}(x)| < \alpha\}$.

We call a cardinal α *n-good* iff

- α is a strong limit, and
- $H_\alpha \models \Sigma_n$ -Collection.

The Stability Predicate S

Recall: $H_\alpha = \{x \mid |\text{trcl}(x)| < \alpha\}$.

We call a cardinal α *n-good* iff

- α is a strong limit, and
- $H_\alpha \models \Sigma_n$ -Collection.

Definition

The *Stability Predicate* S consists of all triples (α, β, n) such that

- α and β are *n-good* cardinals, and
- $H_\alpha \prec_{\Sigma_n} H_\beta$.

Definition

The *Stable Core* is the model $(L[S], S)$.

Definition

The *Stable Core* is the model $(L[S], S)$.

Observation: $L[S] \subseteq \text{HOD}$, but by (Hamkins, Reitz) it is consistent that S is not definable over HOD.

Definition

The *Stable Core* is the model $(L[S], S)$.

Observation: $L[S] \subseteq \text{HOD}$, but by (Hamkins, Reitz) it is consistent that S is not definable over HOD.

Theorem (Friedman)

It is consistent that $L[S] \not\subseteq \text{HOD}$.

Idea: Use Jensen coding.

GCH can fail everywhere in the Stable Core

Any object that can be added generically to L can exist in the Stable Core.

GCH can fail everywhere in the Stable Core

Any object that can be added generically to L can exist in the Stable Core.

Theorem (Friedman, Gitman, M.)

Suppose $\mathbb{P} \in L$ is a forcing notion and $G \subseteq \mathbb{P}$ is L -generic. Then there is a further forcing extension $L[G][H]$ such that $G \in L[S^{L[G]}[H]]$.

GCH can fail everywhere in the Stable Core

Any object that can be added generically to L can exist in the Stable Core.

Theorem (Friedman, Gitman, M.)

Suppose $\mathbb{P} \in L$ is a forcing notion and $G \subseteq \mathbb{P}$ is L -generic. Then there is a further forcing extension $L[G][H]$ such that $G \in L[S^{L[G]}[H]]$.

Corollary

The following can consistently happen in the Stable Core:

- *The GCH fails on a large initial segment of the cardinals.*
- *An arbitrarily large cardinal of L is countable.*
- *Martin's Axiom holds.*

GCH can fail everywhere in the Stable Core

Any object that can be added generically to L can exist in the Stable Core.

Theorem (Friedman, Gitman, M.)

Suppose $\mathbb{P} \in L$ is a forcing notion and $G \subseteq \mathbb{P}$ is L -generic. Then there is a further forcing extension $L[G][H]$ such that $G \in L[S^{L[G][H]}]$.

Corollary

The following can consistently happen in the Stable Core:

- *The GCH fails on a large initial segment of the cardinals.*
- *An arbitrarily large cardinal of L is countable.*
- *Martin's Axiom holds.*

With class forcing we can even get:

Theorem (Friedman, Gitman, M.)

It is consistent that GCH fails at all regular cardinals in the Stable Core.

Definition

We say a cardinal $\kappa > \omega$ is *measurable* iff there is a nonprincipal κ -complete ultrafilter μ on κ .

Definition

We say a cardinal $\kappa > \omega$ is *measurable* iff there is a nonprincipal κ -complete ultrafilter μ on κ .

$L[\mu]$ is constructed like L , with μ (restricted to the current model) as an additional predicate.

Definition

We say a cardinal $\kappa > \omega$ is *measurable* iff there is a nonprincipal κ -complete ultrafilter μ on κ .

$L[\mu]$ is constructed like L , with μ (restricted to the current model) as an additional predicate.

- $\mu \cap L[\mu]$ is the unique normal measure on κ ,
- κ is the unique measurable cardinal in $L[\mu]$, and
- If μ and ν are two normal measures on κ , then $L[\mu] = L[\nu]$.

Definition

We say a cardinal $\kappa > \omega$ is *measurable* iff there is a nonprincipal κ -complete ultrafilter μ on κ .

$L[\mu]$ is constructed like L , with μ (restricted to the current model) as an additional predicate.

- $\mu \cap L[\mu]$ is the unique normal measure on κ ,
- κ is the unique measurable cardinal in $L[\mu]$, and
- If μ and ν are two normal measures on κ , then $L[\mu] = L[\nu]$.

How does the Stable Core of $L[\mu]$ look like? Can it have a measurable cardinal?

A simpler model: $L[\text{Card}]$

A simpler model: $L[\text{Card}]$

Theorem (Friedman, Gitman, M.)

It is consistent that $L[\text{Card}] \subsetneq L[S]$.

A simpler model: $L[\text{Card}]$

Theorem (Friedman, Gitman, M.)

It is consistent that $L[\text{Card}] \subsetneq L[S]$.

Theorem (Kennedy, Magidor, Väänänen)

- *If 0^\sharp exists, then $0^\sharp \in L[\text{Card}]$.*
- *If there is a measurable cardinal, then $L[\mu] \subseteq L[\text{Card}]$.*
- $L[\text{Card}]^{L[\mu]} = L[\mu]$.

A simpler model: $L[\text{Card}]$

Theorem (Friedman, Gitman, M.)

It is consistent that $L[\text{Card}] \subsetneq L[S]$.

Theorem (Kennedy, Magidor, Väänänen)

- *If 0^\sharp exists, then $0^\sharp \in L[\text{Card}]$.*
- *If there is a measurable cardinal, then $L[\mu] \subseteq L[\text{Card}]$.*
- *$L[\text{Card}]^{L[\mu]} = L[\mu]$.*

The structure of $L[\text{Card}]$ becomes regular in the presence of large cardinals.

Theorem (Kennedy, Magidor, Väänänen, Welch)

Assume there is (a little more than) a measurable limit of measurables, then in $L[\text{Card}]$: There are no measurable cardinals and GCH holds.

Some of their techniques generalize to the Stable Core.

Theorem (Friedman, Gitman, M.)

- *If 0^\sharp exists, then $0^\sharp \in L[S]$.*
- *If there is a measurable cardinal, then $L[\mu] \subseteq L[S]$.*
- *$L[S]^{L[\mu]} = L[\mu]$.*

Some of their techniques generalize to the Stable Core.

Theorem (Friedman, Gitman, M.)

- *If 0^\sharp exists, then $0^\sharp \in L[S]$.*
- *If there is a measurable cardinal, then $L[\mu] \subseteq L[S]$.*
- *$L[S]^{L[\mu]} = L[\mu]$.*

This generalizes to sequences $(\mu^{(\xi)} \mid \xi < \nu)$ for normal measures $\mu^{(\xi)}$ on distinct measurable cardinals $\kappa^{(\xi)}$ with $\nu < \kappa^{(0)}$.

Some of their techniques generalize to the Stable Core.

Theorem (Friedman, Gitman, M.)

- *If 0^\sharp exists, then $0^\sharp \in L[S]$.*
- *If there is a measurable cardinal, then $L[\mu] \subseteq L[S]$.*
- *$L[S]^{L[\mu]} = L[\mu]$.*

This generalizes to sequences $(\mu^{(\xi)} \mid \xi < \nu)$ for normal measures $\mu^{(\xi)}$ on distinct measurable cardinals $\kappa^{(\xi)}$ with $\nu < \kappa^{(0)}$. But:

Question

Can the Stable Core have a measurable limit of measurable cardinals?

Theorem (Friedman, Gitman, M.)

Suppose $\mathbb{P} \in L[\mu]$ is a forcing notion and $G \subseteq \mathbb{P}$ is $L[\mu]$ -generic. Then there is a further forcing extension $L[\mu][G][H]$ such that $G \in L[S^{L[\mu][G][H]}]$.

Theorem (Friedman, Gitman, M.)

Suppose $\mathbb{P} \in L[\mu]$ is a forcing notion and $G \subseteq \mathbb{P}$ is $L[\mu]$ -generic. Then there is a further forcing extension $L[\mu][G][H]$ such that $G \in L[S^{L[\mu][G][H]}]$.

Theorem (Friedman, Gitman, M.)

It is consistent that the Stable Core has a measurable cardinal and the GCH fails at all regular cardinals.

Measurable cardinals are not downward absolute to the Stable Core

Measurable cardinals are not downward absolute to the Stable Core

Theorem (Kunen)

Weakly compact cardinals are not downward absolute.

Measurable cardinals are not downward absolute to the Stable Core

Theorem (Kunen)

Weakly compact cardinals are not downward absolute.

Theorem (Friedman, Gitman, M.)

It is consistent that a cardinal κ is measurable in V , but not even weakly compact in the Stable Core.

Question

Is it consistent that the Stable Core of the Stable Core is smaller than the Stable Core?

Question

Is it consistent that the Stable Core of the Stable Core is smaller than the Stable Core?

Question

What is the Stable Core of larger canonical inner models, e.g. M_1 ?

Question

Is it consistent that the Stable Core of the Stable Core is smaller than the Stable Core?

Question

What is the Stable Core of larger canonical inner models, e.g. M_1 ?

Question

What does the Stable Core look like in the presence of large cardinals?

- Is there a bound on the large cardinals the Stable Core can have?
- Or: Are large cardinals downward absolute to the Stable Core?
- Does the GCH hold?

“There is an ever changing list of questions in set theory the answers to which would greatly increase our understanding of the universe of sets. The difficulty of course is the ubiquity of independence: almost always the questions are independent.”

(W. H. Woodin in Suitable Extender Models I)

Thank you for your attention!